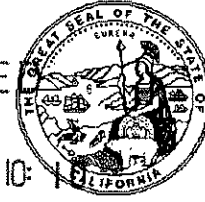


Labor Commissioner, State of California
Department of Industrial Relations
Division of Labor Standards Enforcement
Bureau of Field Enforcement- Public Works

Edmund G. Brown Jr., Governor

RECEIVED



2015 AUG 19 A 10:11
OFFICE OF CITY CLERK
CITY OF ANAHEIM
40-46807-120

City of Anaheim
200 South Anaheim Blvd.
Anaheim, CA 92805
Joey Vasquez
DATE:
August 14, 2015

REQUEST FOR INFORMATION, AWARDING BODY

PROJECT NAME Anaheim Regional Transportation Intermodal Center (ART)	Project No. 0
Prime Contractor Clark Construction Group California LP	
Subcontractor S & R Architectural Metals Inc.	

This office is currently conducting an investigation to determine if the above-mentioned contractor(s) are in violation of the Public Work Laws, Labor Code Sections 1720 through 1861.


To assist us in our investigation, copies of the noted (x) documents and information are hereby requested:

- Contract (excluding specifications)
- Performance Bond and Payment Bond (Labor/Material Bond)
- Bid Notice and Date First Published _____
- Page(s) Listing Prevailing Wage Rate for the Project
- Page(s) Advising Contractor of Legal Requirements to Pay Prevailing Wage
- ____ Name(s) and Address(es) of all Subcontractor(s) performing work on this project
- Certified Payroll Records Received by Your Agency From Contractor
- Notice of Completion (County Recorder Filing) or Acceptance Document (Please attach copy)
- Date Project Began _____
- Completion Date _____ IF NOT, Estimated Date _____
- Amount of Money Still Being Held by Your Agency \$ _____
- Inspector's Daily Log(s)
- ____ Actual Location of Project _____
- Please advise if this project's funding requires the use of an approved Labor Compliance Program
- ____ Other _____

Please be assured that the above requested information will be utilized for official purposes only.
Thank you for your assistance.

STATE LABOR COMMISSIONER

By Monica Curi 
Monica Curi
Industrial Relations Representative

Labor Commissioner, State of California Department of Industrial Relations Division of Labor Standards Enforcement Bureau of Field Enforcement- Public Works	Edmund G. Brown Jr., Governor 
Clark Construction Group California LP 7500 Old Georgetown Road Bethesda, MD 20814 Barbara C. Wagner	In Reply Refer to Case No: 40-46807-120
DATE: August 14, 2015	


NOTICE OF INVESTIGATION

PROJECT NAME Anaheim Regional Transportation Intermodal Center (ART)	Project No. 0
Prime Contractor Clark Construction Group California LP	
Subcontractor S & R Architectural Metals Inc.	

You are hereby advised that the Division of Labor Standards Enforcement is commencing an investigation to confirm compliance with the Public Work Laws (California Labor Code, Division 2, Part 7) by the above-named contractor(s). After an investigation, if it is determined that wages and/or penalties are due, a Civil Wage and Penalty Assessment will be issued pursuant to Labor Code section 1741.

STATE LABOR COMMISSIONER

By Monica Curi
 Monica Curi
 Industrial Relations Representative

Labor Commissioner, State of California Department of Industrial Relations Division of Labor Standards Enforcement Bureau of Field Enforcement- Public Works	Edmund G. Brown Jr., Governor 
S & R Architectural Metals Inc. 2609 West Woodland Drive Anaheim, CA 92801	
DATE: August 14, 2015	In Reply Refer to Case No: 40-46807-120

NOTICE OF APPRENTICESHIP COMPLIANCE

PROJECT NAME Anaheim Regional Transportation Intermodal Center (ART)	Project No. 0
Prime Contractor Clark Construction Group California LP	
Subcontractor S & R Architectural Metals Inc.	

The Division of Labor Standards Enforcement (DLSE) has received a complaint alleging that you are not in compliance with Labor Code Section 1777.5. The alleged violation(s) is/are as follows:

- Failure to provide the applicable Apprenticeship Committee(s) with notice of contract award in a timely manner.
- Failure to properly request dispatch of apprentices from the applicable Apprenticeship Committee(s) in a timely manner.
- Failure to employ apprentices in compliance with required apprentice to journeyman ratio.
- Failure to properly employ apprentice(s) by assigning apprentice(s) to perform work outside the craft or trade of the apprenticeable occupation.
- Failure to make the required training fund contributions to an approved apprenticeship program or to the California Apprenticeship Council (CAC).


The Division of Labor Standards Enforcement (DLSE) is charged with ensuring compliance with the apprenticeship requirements of Labor Code Section 1777.5 and California Code of Regulations Title 8, §227 et seq. for public works projects. Verification of compliance with these requirements is a routine part of DLSE's public works monitoring and investigation.

Please submit a response to the allegations above, if any, and the following evidence of compliance with the apprenticeship requirements of Labor Code Section 1777.5 postmarked by August 24, 2015 :

1. DAS140 – Contract Award Information (or equivalent) with proof of submission to applicable apprenticeship committees;
2. DAS142 – Request for Dispatch of an Apprentice (or equivalent) with proof of submission to applicable apprenticeship committees;
3. Accounting and proof of payment of the training fund contributions to California Apprenticeship Council or approved apprenticeship program.

Non-compliance will result in civil penalties and/or denial of the right to bid on or receive public works contracts for a period of up to three (3) years per California Labor Code Section 1777.7.

STATE LABOR COMMISSIONER

By Monica Curi 
 Monica Curi
 Industrial Relations Representative

STATE OF CALIFORNIA
DEPARTMENT OF INDUSTRIAL RELATIONS - DIVISION OF LABOR STANDARDS ENFORCEMENT

CERTIFICATION OF SERVICE BY MAIL
(C.C.P. 1013a) OR CERTIFIED MAIL

I, Selene Barillas, do hereby certify that I am a resident of or employed in the County of
San Diego, over 18 years of age, and not a party to the within action, and that I am employed at
and my business address is:

Division of Labor Standards Enforcement
Bureau of Field Enforcement

On August 14, 2015, I served the within: (1) Notice of Investigation; (2) Request for Information, Awarding Body; (3) Request for Payroll Records; (4) Statement of Employer Payments; (5) Public Works Payroll Reporting Form A1-131; and (6) Notice of Apprenticeship Compliance by placing a true copy thereof in an envelope addressed as follows:

City of Anaheim
200 South Anaheim Blvd.
Anaheim, CA 92805
Joey Vasquez
Documents 1, 2 and 6 only

Clark Construction Group California LP
7500 Old Georgetown Road
Bethesda, MD 20814
Barbara C. Wagner
Documents 1, 3, 4, 5 and 6 only

S & R Architectural Metals Inc.
2609 West Woodland Drive
Anaheim, CA 92801
Roy D. Maple
Documents 1, 3, 4, 5 and 6 only

and then sealing the envelope and with postage and certified mail fees (if applicable) thereon fully prepaid, and then depositing it in the United States mail in San Diego by:

- Ordinary first class mail
- Certified mail
- Registered mail

I certify under penalty of perjury that the foregoing is true and correct

Executed on August 14, 2015, at San Diego, County of San Diego, California

Selene Barillas
SIGNATURE

STATE CASE NO.
40-46807-120